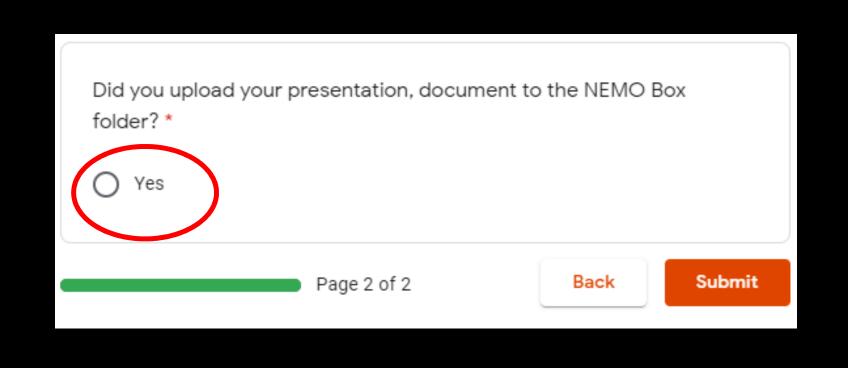
From SLO MODEL to PRACTICE:

Pitfalls and drivers for a transparent dialogue

Alberto Vázquez Ruiz







- Belgian organization based in Ghent
- Since 2005, formed mainly by volunteers
- Working with communities affected by mining
- Specially focussed on Latin America







Social License to Operate & Public Acceptance

The industry needs the resources from the territory of a local community

...MOI'e than the local community needs the industry.

DRIVERS OF TRUST & ACCEPTANCE



COMMUNITY SLO

- Contact quality
- Perceived procedural fairness
- Social benefits

TRUST IN GOVERNMENT TO REGULATE INDUSTRY

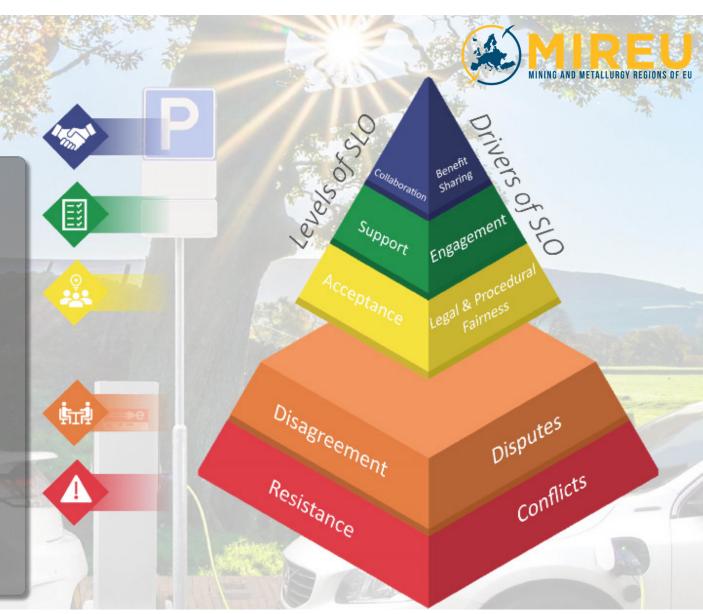
SOCIETAL SLO

- •Legal & procedural fairness
- •Confidence in government
 - Distributional fairness

Adapted from Moffat and Zhang's model in Resources Policy 2014 and Mariana Lyra's 'Trust & Influence' Diagram



- SLO is both process and outcome
- SLO is context specific but based on common European values
- SLO is both present-day practices and future ideals
- SLO operates simultaneously on the community and societal levels
- SLO requires a social purpose
- SLO is based on communities believing a project confers an actual benefit.





Relationship Type: Collaborative

- Citizen participation in revision of mining laws and ensuring consistency between policy/regulation
- Promoting renewables and more efficient uses of energy through mining laws (rehab programs, etc.)
- Citizen input on national level distribution of mining taxes & royalties
- National level education programs to promote raw materials awareness

BENEFIT SHARING

Relationship Type: Collaborative

- Co-planning of project, ongoing cooperation, partnership in 'decision-finding' process
- Enhanced well-being (cohesiveness, stability) and livelihoods of communities (local procurement/salaries) realized through agreements
- Generating additional income for community/local government
- Passive joint monitoring (shows confident engagement)
- Training programs for the new skills needed in the mining workforce

Relationship Type: Transactional

- Govt/industry raw materials awareness campaigns
- Citizens believe can influence regulation of industry
- National/regional government seek stakeholder input and adopt voluntary mining standards
- Establishment of and participation in mining-related networks

ENGAGEMENT

Relationship Type: Transactional

- Community feels contact quality with company is high
- Community believes they have a voice in the environmental/permitting processes and can influence economic/social outcomes of project
- Active joint monitoring (indicates skeptical tolerance or passion independent of project)

LEGAL & PROCEDURAL FAIRNESS

Relationship Type: Transactional

- Government has legitimacy
- Public trust in environmental/permitting/licensing processes, which are seen to have adequate public consultation opportunities
- UNDRIP mandates incorporated into national mining legislation
- Government is accountable to public and will support regulations over politics
- Minimum level of economic compensation to country

Relationship Type: Transactional

- Company behavior toward the community is fair, transparent, respectful and observes legal/regulatory processes
- Company informs the public as required and conducts requisite consultations
- Community believes the burden of impacts is outweighed by the project's benefits
- Jobs in the community and revenue for the municipality will be created.

Drivers of Trust & Acceptance

Relationship Type: Withholding or withdrawal of SLO (mining possible)

- Environmental/social/human rights incidents lead to loss in legitimacy of government
- No confidence that government can or will regulate the mining industry
- Type of commodity (coal, uranium, etc.)
- Lack of awareness and education about raw materials and their everyday uses
- Poor industry behavior at local level in a particular country
- Foreign ownership/perception that mining revenue does not benefit the country

DISPUTES

Relationship Type: Withholding or withdrawal of SLO (mining possible)

- Poor company engagement (from non-contact to overriding community wishes) and no (functioning) grievance mechanism
- Disregarding most marginalized groups or those with little visibility
- Fear of air/water pollution and inadequate/too technologically complex mitigation
- Commodity and type of mine development
- Land use conflicts, threat to livelihoods and no-go zones (nature conservation, indigenous peoples' lands, cultural heritage)

CONFLICTS

Relationship Type: No mining possible

- Important individual and community values are disregarded by government and company
- Community has historically been lied to and there is deep distrust
- Severe historic mining incidents

Relationship Type: No mining possible

- Belief that human rights are violated
- Government is not seen as being the rightful representative of the people
- Disastrous historic mining incidents

Adapted from Thomson and Boutilier's SLO Model



Free choice?

Relationship Type: No mining possible

- Belief that human rights are violated
- Government is not seen as being the rightful representative of the people
- Disastrous historic mining incidents

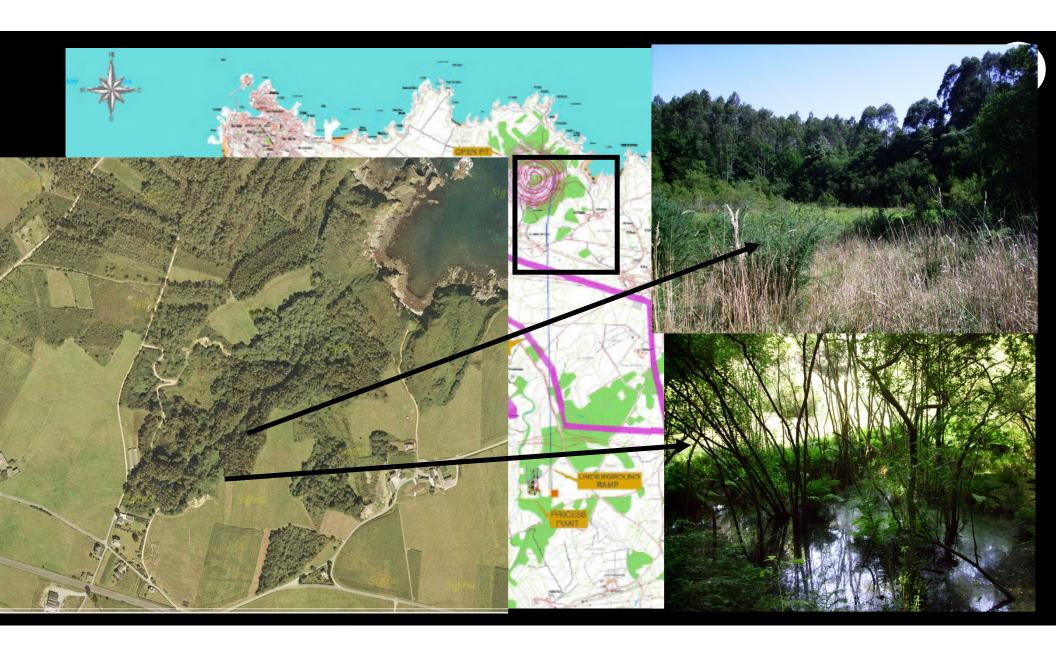
CONFLICTS

Relationship Type: No mining possible

- Important individual and community values are disregarded by government and company
- · Community has historically been lied to and there is deep distrust
- Severe historic mining incidents



/es





Does mining in the EU have no impact?

• Environment

Cultural



Does mining in the EU

Environmental

Cultural

• Economic

IGF-OECD PROGRAM TO ADDRESS BEPS IN MINING

THE HIDDEN COST OF TAX INCENTIVES IN MINING

CONSULTATION DRAFT

IGF-OECD PROGRAM TO ADDRESS BEPS IN MINING

LIMITING THE IMPACT
OF EXCESSIVE INTEREST
DEDUCTIONS ON MINING
REVENUE















Does mining in the EU have no impact?

- Environmental
- Cultural
- Economic
- Social





Does ma El Principado de Asturias rechaza el proyecto de la mina de oro de Salave

Environm

 Prima los informes desfavorables de la Confederación Hidrográfica del Cantábrico frente a los del Instituto Geológico y Minero que no veía problema para los acuíferos

Cultural

Economic

Social

Political



Cartel a favor de la mina de oro de Salave - luis sevilla

alejandro carra



Actualizado:21/12/2014 03:13h



LO MÁS LEÍDO EN ABC

Publicidad

Sociedad

ABC

Dos catedráticos de Odontología lideran una trama de tesis plagiadas en las universidades de Murcia y Granada





Open dialogue based on equality to discuss with all stakeholders impacts identified, prevented & tackled prior to any possible agreement.



Policy recommendation:

A regulated multi-stakeholder platform with binding decision-making for the co-management of the mining operation...

...with guarantees and options at any stage for the rejection of the project.

(Respect any process of **free consultation** prior and during the operation)



Contact

CATAPA vzw

www.catapa.be

alberto.vazquez@catapa.be